

# 按照 TCSP 法律的預期開展業務 並減少潛在壞賬風險



講者:

羅立基 — 發佈天下有限公司 總裁

陳有德 — 美國歐文氏商業顧問 (香港) 有限公司 顧問

香港的反洗黑錢法律已將客戶盡職調查 (CDD) 要求擴展到三類角色, 包括: 律師和會計師、房地產經紀人及信託或公司服務提供商 (TCSP)。如何遵守此條例的問題不但涉及這三類專業; 其關注更伸延至與財務有關的商業活動, 如帳務追收等。貴公司是否備有戰略及政策以確保監管有效, 避免墮入違規的風險? 是次研討會, 講者將分享以下經驗:

## 大綱:

- 法律的預期 — 7項行動要求? (實行政策, 員工培訓, 風險評估, 客戶「盡職審查」措施, 備存紀錄, 持續監察, 可疑交易舉報)
- 什麼是洗錢?
- CDD與Know Your Customer (KYC) 有何關係?
- 如何執行CDD?
- 我們是否需要關注貿易禁運?
- 我們做得夠好遵守法律嗎?
- 案例分析 — 帳務追收觸犯違規行為

## 語言:

廣東話

## 日期及時間:

3月8日2019年 (15:00 to 17:30)

## 費用:

經美國歐文氏報名可享優惠價  
每位HK\$380

## 地點:

香港總商會演講廳  
香港金鐘道95號統一中心22樓

## 講者:

### 羅立基 — 發佈天下有限公司 總裁

羅先生在商業大數據管理, 徵信應用, 合規管理和信息技術方面有超過30年的經驗。他曾在世界領先的商業信息提供商擔任領導職務, 包括湯姆森路透亞洲的董事總經理, 鄧白氏香港和台灣的總經理。羅先生經常在大中華地區的媒體, 學術機關和行業研討會議上發表演講, 重點討論徵信和合規管理。

### 陳有德 — 美國歐文氏商業顧問 (香港) 有限公司 顧問

陳先生累積逾30年商帳管理經驗, 曾帶領團隊處理超過共逾百億的帳務, 具有豐富催收實戰經驗。陳先生亦是一位資深的商帳管理講者, 於中港台培訓次數逾800次。

BOOKING FORM (Fax: 2201 8288) -----

## Conducting Your Business In Compliance with the TCSP Expectations and Reducing Potential Bad Debt

按照 TCSP 法律的預期開展業務並減少潛在壞賬風險

Enquiry: Wing Lau      Tel: 2201 8296      wing.lau@iqor.com

Company:

Contact Person:

Tel:

Email:

Name of attendee: Mr/Ms

Position:

Email:

### PAYMENT BY



Cheque:

HK\$

(payable to **The Hong Kong General Chamber of Commerce**)

Please post to **Ms Cathy Chan, HKGCC, 22/F United Centre, 95 Queensway, HK**



Cash Deposit/Transfer to HSBC A/C:500-166897-838

(please fax the payment slip to **Cathy Chan at 2821-9582**)

# Conducting Your Business In Compliance with the TCSP Expectations and Reducing Potential Bad Debt



## Speakers:

**Alexander Lo, CEO, FaBuTX Ltd**

**Norris Chan, Consultant, Receivable Management Services (HK) Ltd**

**\*\* This talk will be conducted in Cantonese 本講座將以廣東話進行 \*\***

Hong Kong's Anti-Money Laundering (AML) laws have extended the customer due diligence (CDD) recordkeeping requirements to three types of professions in specific kinds of roles, including solicitors and accountants, real estate agents, and trust or company services providers. Such development does not only raise the awareness of these professions on the issue of how to comply, but also entice notices on financial related business activities such as debt recovery. Does your organization have the right strategies and policies to ensure full compliance of AML so as to avoid prospective violations? In this seminar, our speakers will share:

What is expected by the law - the 7 action checks (Policies, Staff Training, Risk Assessment, CDD Measures, Record Keeping, Ongoing Monitoring, and Suspicious Transactions Reporting)?

- What is Money Laundering?
- How is CDD vs Know Your Customer ("KYC")?
- How to execute CDD?
- Do we need to pay attention to Sanctions?
- Are we doing good enough to comply?
- Case study - Debt recovery situation representing a fraudulent transaction

## Speaker(s)

### **Alexander Lo, CEO, FaBuTX Ltd**

Alexander has over 30 years of experience in business information management, compliance, credit management and information technology. He has held leadership positions in the world's leading business information providers, including Managing Director of Thomson Reuters Asia, and General Manager of Dun & Bradstreet (D&B), Hong Kong and Taiwan. Alexander is a frequent speaker in media, academic institutions, and industry seminars & conferences across Greater China, focusing on compliance & credit management.

### **Norris Chan, Consultant, Receivable Management Services (HK) Ltd**

Norris Chan has over 30 years of hands-on experience in the debt collection industry. As a veteran debt receivable practitioner, Norris and his team manage a portfolio exceeding billions of US dollars. He is a popular speaker and has conducted over 800 seminars in Greater China.

## Language:

Cantonese

## Date and Time:

08 March 2019 (15:00 to 17:30)

## Fee:

Booking through RMS only:  
HK\$380 per person

## Venue:

HKGCC Chamber Theatre  
22/F United Centre

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